

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for the quarter dated 30-Jun-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
	1 Whether the Listed Entity has issued any partly paid up shares?		NO
	2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
	3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
	4 Whether the Listed Entity has any shares in locked-in?		NO
	5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: X	Class eg: Y	No of Voting Total	Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
A.	PROMOTER & PROMOTER GROUP	3	974044	0	0	974044	0.193	974044	0	974044	0.193	0	0.1861	0	0	974044	100	974044
B.	PUBLIC	104936	503534743	0	0	503534743	99.759	503534743	0	503534743	99.7588	18585623	99.767	0	0	0	0	503484190
C.	NON PROMOTER- NON PUBLIC	1	0	0	243207	243207	0.0482	243207	0	243207	0.0482	0	0.0465	0	0	0	0	243207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.0482	243207	0	243207	0.0482	0	0.0465	0	0	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	104940	504508787	0	243207	504751994	100	504751994	0	504751994	100	18585623	100.000	0	0	974044	100	504701441

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									Table II			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	No of Voting Rights			Total as a % of Total Voting rights								
								Class X	Class Y	Total									
1.	INDIAN																		
a.	Individuals/Hindu undivided Family		2	452844	0	0	452844	0.090	452844	0	452844	0.090	0	0.087	0	0	452844	100.00	452844
	Names :								0		0								
	Subhash Menon	AATPM5809J		80601	0	0	80601	0.016	80601	0	80601	0.016	0	0.015	0	0	80601	100.00	80601
	Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.074	372243	0	372243	0.074	0	0.071	0	0	372243	100.00	372243
b.	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Names :								0		0								
d.	ANY OTHER								0		0								
	Bodies Corporate		1	521200	0	0	521200	0.103	521200	0	521200	0.103	0	0.100	0	0	521200	100.00	521200
	Names :								0		0								
	KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.103	521200	0	521200	0.103	0	0.100	0	0	521200	100.00	521200
	Sub-Total (A)(1)		3	974044	0	0	974044	0.193	974044	0	974044	0.193	0	0.186	0	0	974044	100.00	974044
									0		0								
2.	FOREIGN								0		0								
	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b.	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f.	ANY OTHER								0		0								
									0		0								
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
									0		0								
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	974044	0	0	974044	0.193	974044	0	974044	0.193	0	0.186	0	0	974044	100.00	974044
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									Class X	Class Y	Total			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
1.	INSTITUTIONS																	
a.	Mutual Funds/			0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0
b.	Venture Capital Funds			0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0
c.	Alternate Investment Funds			0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0
d.	Foreign Venture Capital Investors			0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0
e.	Foreign Portfolio Investors			0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0
f.	Financial Institutions/ Banks			3	4263748	0	4263748	0.84	4263748	0	4263748	0.84	0	0	0	NA		4263748
g.	Insurance Companies			2	78764	0	78764	0.02	78764	0	78764	0.02	0	0	0	NA		78764
h.	Provident Funds/ Pension Funds			0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA		0
i.	ANY OTHER								0		0							
	CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)			0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA		0
	FOREIGN INSTITUTIONAL INVESTORS			2	975257	0	975257	0.19	975257	0	975257	0.19	0	0	0	NA		975257
	FOREIGN FINANCIAL INSTITUTIONS			0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA		0
	Sub-Total (B)(1)			7	5317769	0	5317769	1.05	5317769	0	5317769	1.05	0	0	0			5317769
									0		0							
2.	Central Government/ State Government(s)/ President of India			0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0
									0		0							
	Sub-Total (B)(2)			0	0	0	0	0.00	0	0	0	0	0	0	0			0
									0		0							
3.	NON-INSTITUTIONS								0		0							
a.	INDIVIDUALS -								0		0							
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.			96637	139409352	0	139409352	27.62	139409352	0	139409352	27.62	0	0	0	NA		139360869
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			1944	143016494	0	143016494	28.33	143016494	0	143016494	28.33	0	0	0	NA		143016494

Table III

	Names :							0		0								
b.	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0
c.	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0
d.	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0
e.	ANY OTHER								0		0							
	BODIES CORPORATE		1602	107380138	0	0	107380138	21.27	107380138	0	107380138	21.27	0	20.518	0	0	NA	107379738
	Names :								0		0							
	AKG FINVEST LTD	AADCA8306P		8747000	0	0	8747000	1.73	8747000	0	8747000	1.73	0	1.671	0	0	0	8747000
	UNO METALS LTD	AAACU3053E		11022000	0	0	11022000	2.18	11022000	0	11022000	2.18	0	2.106	0	0	0	11022000
	TRUSTS		8	396600	0	0	396600	0.08	396600	0	396600	0.08	0	0.076	0	0	NA	396600
	DIRECTOR & THEIR RELATIVES		1	60000	0	0	60000	0.01	60000	0	60000	0.01	0	0.012	0	0	NA	60000
	FOREIGN NATIONALS		27	87352	0	0	87352	0.02	87352	0	87352	0.02	0	0.017	0	0	NA	87352
	NON RESIDENT INDIANS		831	8356999	0	0	8356999	1.66	8356999	0	8356999	1.66	0	1.597	0	0	NA	8356999
	CLEARING MEMBERS		505	10696269	0	0	10696269	2.12	10696269	0	10696269	2.12	0	2.044	0	0	NA	10696269
	HINDU UNDIVIDED FAMILIES		3327	18774889	0	0	18774889	3.72	18774889	0	18774889	3.72	0	3.588	0	0	NA	18774889
	OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	0
	FOREIGN CORPORATE BODIES		4	69403383	0	0	69403383	13.75	69403383	0	69403383	13.75	18585623	16.78	0	0	NA	69403383
	Names :								0		0							
	QVT MAURITIUS WEST FUND	AAACQ1738J		43020395	0	0	43020395	8.52	43020395	0	43020395	8.52	0	8.220	0	0	0	43020395
	DEUTSCHE BANK AG LONDON- CB ACCOUNT	AAACD1390F		21559422	0	0	21559422	4.27	21559422	0	21559422	4.27	0	4.120	0	0	0	21559422
	ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS		43	635498	0	0	635498	0.13	635498	0	635498	0.13	0	0.121	0	0	NA	633828
	Sub-Total (B)(3)		104929	498216974	0	0	498216974	98.71	498216974	0	498216974	98.71	0	95.200	0	0		498166421
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		104936	503534743	0	0	503534743	99.76	503534743	0	503534743	99.76	0	99.806	0	0		503484190
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																		
	Name of the PAC	N of Shares	% of PAC															
	QVT Mauritius West Fund & Quintessence Mauritius West Fund	47843416	9.48															
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Share-holding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	NA		243207
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0			243207